

PRESTON DUFAUCHARD
California Corporations Commissioner
ALAN S. WEINGER
Deputy Commissioner
JOYCE TSAI
Corporations Counsel
DEPARTMENT OF CORPORATIONS
1350 Front Street, Suite 2034
San Diego, CA 92101
Telephone: (619) 525-4043

Attorneys for Complainant

BEFORE THE DEPARTMENT OF CORPORATIONS
OF THE STATE OF CALIFORNIA

In the Matter of)	
)	
THE CALIFORNIA CORPORATIONS)	
COMMISSIONER,)	ORDER IMPOSING PENALTIES AND
)	ANCILLARY RELIEF
Complainant,)	
v.)	
)	
JORGE ROMERO INVESTMENTS, INC.;)	
MAXIMUM RETURN INVESTMENTS, INC.;)	
and JORGE ROMERO)	
)	
Respondents.)	

To: Jorge Romero
Jorge Romero Investments, Inc.
Maximum Return Investments, Inc.
7235 Zelzah Avenue
Reseda, CA 91335

Preston DuFauchard, the California Corporations Commissioner (“Commissioner”) of the Department of Corporations (“Department”), finds that:

1. On November 8, 2010, the Commissioner issued his Notice of Intention to Issue Order Levying Administrative Penalties Pursuant to Corporations Code Section 25252 and Claim for Ancillary Relief Pursuant to Corporations Code Section 25254; and Statement in Support of Order

Levying Administrative Penalties Pursuant to Corporations Code Section 25252; Claim for Ancillary Relief Pursuant to Corporations Code Section 25254; and Desist and Refrain Order against Jorge Romero, Jorge Romero Investments, Inc., and Maximum Return Investments, Inc. (collectively, “Respondents”).

2. Respondents were served on December 3, 2010.

3. Respondents did not file a notice of defense or otherwise request a hearing.

NOW THEREFORE, GOOD CAUSE SHOWING, the Commissioner having found that Respondents have willfully violated Corporations Code sections 25110 and 25401, Respondents are hereby ordered, jointly and severally, to pay to the Commissioner the sum of \$422,000.00 in administrative penalties.

Further, Respondents are hereby ordered, jointly and severally, to pay to the Commissioner full restitution consisting of the investors’ investment principal in an amount of \$1,945,000.00, plus the legal rate of interest accumulated on the investment principal, in the amount of \$473,867.00, for a total amount of \$2,418,867.00.

Further, Respondents are hereby ordered, jointly and severally, to pay to the Commissioner attorney’s fees, investigative expenses, and costs in an amount of \$17,867.88.

Dated: February 1, 2011

PRESTON DUFAUCHARD
California Corporations Commissioner

By: _____
ALAN S. WEINGER
Deputy Commissioner